

Privacy Notice

It is the policy of **DouglasBradley LLC** to keep confidential nonpublic personal information (“*information*”) pertaining to each current and former client (i.e., information and records pertaining to personal background, investment objectives, financial situation, tax information/returns, investment holdings, account numbers, account balances, etc.) unless **DouglasBradley LLC** is: (1) previously authorized by the client to disclose *information* to individuals and/or entities not affiliated with **DouglasBradley LLC**, including, but not limited to the client’s other professional advisors and/or service providers (i.e., attorney, accountant, insurance agent, broker-dealer, investment adviser, account custodian, etc.); (2) required to do so by judicial or regulatory process; or (3) otherwise permitted to do so in accordance with the parameters of applicable federal and/or state privacy regulations. The disclosure of *information* contained in any document completed by the client for processing and/or transmittal by **DouglasBradley LLC** in order to facilitate the commencement/continuation/termination of a business relationship between the client and a nonaffiliated third party service provider (i.e., broker-dealer, investment adviser, account custodian, insurance company, etc.), including *information* contained in any document completed and/or executed by the client for **DouglasBradley LLC** (i.e., advisory agreement, client information form, etc.), shall be deemed as having been automatically authorized by the client with respect to the corresponding nonaffiliated third party service provider. Each individual and/or entity affiliated with **DouglasBradley LLC** is aware of **DouglasBradley LLC’s Privacy Policy**, and has acknowledged his/her/its requirement to comply with same. In accordance with **DouglasBradley LLC’s Privacy Policy**, each such affiliated individual and/or entity shall have access to *information* to the extent reasonably necessary for **DouglasBradley LLC** to perform its services for the client, and to comply with applicable regulatory procedures and requirements.

Should you have any questions regarding the above, please contact **Douglas O. Robinson, President of DouglasBradley LLC**, at **(443) 451-1884**.